



UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE
United States Patent and Trademark Office
Address: COMMISSIONER FOR PATENTS
P.O. Box 1450
Alexandria, Virginia 22313-1450
www.uspto.gov

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/630,961	07/30/2003	Robert A. Corley	1-1	1792

7590 10/17/2007
Ryan, Mason & Lewis, LLP
90 Forest Avenue
Locust Valley, NY 11560

EXAMINER

DAVENPORT, MON CHERI S

ART UNIT	PAPER NUMBER
----------	--------------

2616

MAIL DATE	DELIVERY MODE
-----------	---------------

10/17/2007

PAPER

Please find below and/or attached an Office communication concerning this application or proceeding.

The time period for reply, if any, is set in the attached communication.

Office Action Summary

Application No.

10/630,961

Applicant(s)

CORLEY ET AL.

Examiner

Mon Cheri S. Davenport

Art Unit

2616

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

Period for Reply

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) OR THIRTY (30) DAYS, WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

Status

- 1) ☒ Responsive to communication(s) filed on 8/3/2007.
- 2a) ☒ This action is **FINAL**. 2b) ☐ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

Disposition of Claims

- 4) ☒ Claim(s) 1-14 is/are pending in the application.
- 4a) Of the above claim(s) _____ is/are withdrawn from consideration.
- 5) ☐ Claim(s) _____ is/are allowed.
- 6) ☒ Claim(s) 1-14 is/are rejected.
- 7) ☐ Claim(s) _____ is/are objected to.
- 8) ☐ Claim(s) _____ are subject to restriction and/or election requirement.

Application Papers

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☐ The drawing(s) filed on _____ is/are: a) ☐ accepted or b) ☐ objected to by the Examiner.
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

Priority under 35 U.S.C. § 119

- 12) ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☐ All b) ☐ Some * c) ☐ None of:
- ☐ Certified copies of the priority documents have been received.
 - ☐ Certified copies of the priority documents have been received in Application No. _____.
 - ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).

* See the attached detailed Office action for a list of the certified copies not received.

Attachment(s)

- | | |
|--|---|
| 1) <input type="checkbox"/> Notice of References Cited (PTO-892) | 4) <input type="checkbox"/> Interview Summary (PTO-413) |
| 2) <input type="checkbox"/> Notice of Draftsperson's Patent Drawing Review (PTO-948) | Paper No(s)/Mail Date. _____ |
| 3) <input type="checkbox"/> Information Disclosure Statement(s) (PTO/SB/08) | 5) <input type="checkbox"/> Notice of Informal Patent Application |
| Paper No(s)/Mail Date _____ | 6) <input type="checkbox"/> Other: _____ |

Claim Rejections - 35 USC § 102

1. **Claims 1-14** rejected under 35 U.S.C. 102(b) as being anticipated by Mazzola (US Patent Number 5,278,834).

Regarding **claim 1** Mazzola discloses a processor comprising (see figure 1):

controller circuitry configurable to determine for a given protocol data unit received by the processor(figure , section 12, processor) whether the given protocol data unit is a single-cell protocol data unit(*see figure 1, section 10, end-system processing node, see col. 7, lines 29-34, the size of the data is compared to a predetermined maximum size limit of envelope field to determine whether once the protocol layer headers are added , the result PDU would be too large to send out from the source nodes a single data unit*); and

first memory circuitry internal to the processor(section 12) (*see figure 1, section 14, memory, in particular section 14a, and 14b, see col. 3, lines 39-44, memory 14a, and memory 14b, is used for internal functions of processor(section 12), 14a, stores a stack of protocol layers, and 14b, has programming for a stack manager*);

the processor being connectable to second memory circuitry external to the processor(*see col 3, lines 42-47, stack manager is a second internal used memory, third area 14c, (reads on second memory externally, note that is located in a different area external to the processor (section 12)) of memory is the buffer pool from which message buffer are allocated*) ;

wherein information characterizing the given protocol data unit is stored in the first memory circuitry if the given protocol data unit is a single-cell protocol data unit (*see col 3-4, lines 58-25, stack 14a, permits data to be passed vertically between protocol layers, the memory buffer, stores the protocol control information which is added in the form of a header, the buffer contains a PDU big enough to be transmitted as single data unit*)

wherein information characterizing the given protocol data unit is stored in the second memory circuitry if the given protocol data unit is not a single-cell protocol data unit (*see col. 4, lines 11-20, a block message that is too large to be transmitted as a single block of data is pre-segmented and a layer header is added*).

Regarding **Claim 2** Mazzola discloses everything as applied above (*see claim 1*). In addition the processor includes:

wherein the protocol data unit comprises a packet (*see col. 3, lines 33-35, the invention can be used with any packet based being that data is formatted into PDU's*)

Regarding **Claim 3** Mazzola discloses everything as applied above (*see claim 1*). In addition the processor includes:

Art Unit: 2616

wherein the single-cell protocol data unit comprises a protocol data unit having a size less than or substantially equal to that of a cell-based processing unit of a switch fabric associated with the processor (*see figure 1, section 10, end-system processing node, see col. 7, lines 29-34, the size of the data is compared to a predetermined maximum size limit of envelope field to determine whether once the protocol layer headers are added, the result PDU would be too large to send out from the source nodes a single data unit*).

Regarding **Claim 4** Mazzola discloses everything as applied above (*see claim 1*). In addition the processor includes:

wherein the information characterizing the given protocol data unit comprises at least one block descriptor (*see col. 4, lines 8-11, the buffer contains at least part of the message data as well as headers from the protocol layers of the source stack*).

Regarding **Claim 5** Mazzola discloses everything as applied above (*see claim 1*). In addition the processor includes:

wherein the block descriptor (*header*) is associated with a particular data block of the given protocol data unit (*see col. 4, lines 15-20, the PDU is segmented and a header is added*).

Regarding **Claim 6** Mazzola discloses everything as applied above (*see claim 1*). In addition the processor includes:

wherein the information characterizing the given protocol data unit is stored in the first memory circuitry without requiring utilization of a linked list data structure (*see col. 7, lines 29-34, the size of the data is compared to a predetermined maximum size limit of envelope field to determine whether once the protocol layer headers are added, the result PDU would be too large to send out from the source nodes a single data unit*).

Regarding **Claim 7** Mazzola discloses everything as applied above (*see claim 1*). In addition the processor includes:

wherein the information characterizing the given protocol data unit is stored in the second memory circuitry utilizing a linked list data structure (*see col. 7, lines 34-46, buffers are chained as linked list*).

Regarding **Claim 8** Mazzola discloses everything as applied above (*see claim 1*). In addition the processor includes:

wherein the processor is configured to provide an interface for communication of the protocol data unit between a network and a switch fabric (*see figure 1, section 15, network interface*).

Regarding **Claim 9** Mazzola discloses everything as applied above (*see claim 1*). In addition the processor includes:

wherein at least one of the first memory circuitry and the second memory circuitry further comprises a queuing and dispatch buffer memory of the processor (*see figure 1, section 14b, stack manager, see col 3. , lines 42-45*).

Regarding **Claim 10** Mazzola discloses everything as applied above (*see claim 1*). In addition the processor includes:

wherein at least one of the first memory circuitry and the second memory circuitry further comprises a PDU buffer memory of the processor (*see figure 1, section 14c, buffer pool, see col. 3, lines 45-48*).

Regarding **Claim 11** Mazzola discloses everything as applied above (*see claim 1*). In addition the processor includes:

wherein the processor comprises a network processor (*see figure 1, section 12, processor*).

Regarding **Claim 12** Mazzola discloses everything as applied above (*see claim 1*). In addition the processor includes:

wherein the processor is configured as an integrated circuit (*see col. 3, lines 19-26*)

Regarding **Claim 13** Mazzola discloses a method for use in a processor comprising controller circuitry and first memory circuitry internal to the processor, the processor being connectable to second memory circuitry external to the processor, the method comprising the steps of (*see figure 1*):

determining for a given protocol data unit received by the processor whether the given protocol data unit is a single-cell protocol data unit (*see col. 7, lines 29-34, the size of the data is compared to a predetermined maximum size limit of envelope field to determine whether once the protocol layer headers are added , the result PDU would be too large to send out from the source nodes a single data unit*);

storing information characterizing the given protocol data unit in the first memory circuitry(*see figure 1, section 14a and 14b*) if the given protocol data unit is a single-cell protocol data unit (*see col 3-4, lines 58-25, the memory buffer, stores the protocol control information which is added in the form of a header, the buffer contains a PDU big enough to be transmitted as single data unit*); and

storing information characterizing the given protocol data unit in the second memory circuitry(*see figure 1, section 14c, buffer pool*) if the given protocol data unit is not a single-cell protocol data unit (*see col. 4, lines 11-20, a block message that is too large to be transmitted as a single block of data is pre-segmented and a layer header is added*).

Regarding **Claim 14** Mazzola discloses a processor-readable medium containing processor-executable instructions for use in a processor comprising controller circuitry and first memory circuitry internal to the processor, the processor being connectable to second memory

Art Unit: 2616

circuitry external to the processor, the instructions when executed in the processor implementing the steps of:

determining for a given protocol data unit received by the processor whether the given protocol data unit is a single-cell protocol data unit(*see col. 7, lines 29-34, the size of the data is compared to a predetermined maximum size limit of envelope field to determine whether once the protocol layer headers are added, the result PDU would be too large to send out from the source nodes a single data unit*);;

storing information characterizing the given protocol data unit in the first memory circuitry(*see figure 1, section 14a and 14b*) if the given protocol data unit is a single-cell protocol data unit(*see col 3-4, lines 58-25, the memory buffer, stores the protocol control information which is added in the form of a header, the buffer contains a PDU big enough to be transmitted as single data unit*); and

storing information characterizing the given protocol data unit in the second memory circuitry(*see figure 1, section 14c, buffer pool*) if the given protocol data unit is not a single-cell protocol data unit(*see col. 4, lines 11-20, a block message that is too large to be transmitted as a single block of data is pre-segmented and a layer header is added*).

Response to Arguments

Claim Rejections - 35 USC § 101

2. **Claim 14** rejection under 35 U.S.C. 101 is withdrawn in view of applicant's amendment filed August 3, 2007.

3. Applicant's arguments filed August 3, 2007 have been fully considered but they are not persuasive.

In the remarks on pg. 6-7 of the amendment, the applicant contends that Mazzola does not teach or suggest "a first memory internal to the processor" and "a second memory external to the processor."

Examiner respectfully disagrees Mazzola teaches as shown in figure 1, section 10 is a processing node not a processor. The processor first memory comprised of sections 14a protocol

stack, and 14b stack manager, both 14a and 14b(dependant), is used for internally functions of the processor. Memory 14a, stores a stack of protocol layers, each layer representing the various task designated by the protocol, memory 14b, has programming for the stack manager, which is executed by the processor. The second memory is 14c (independent), the buffer pool, which is located in a third area externally to the processor,. See rejection of Independent claims.

Conclusion

4. **THIS ACTION IS MADE FINAL.** Applicant is reminded of the extension of time policy as set forth in 37 CFR 1.136(a).

A shortened statutory period for reply to this final action is set to expire **THREE MONTHS** from the mailing date of this action. In the event a first reply is filed within **TWO MONTHS** of the mailing date of this final action and the advisory action is not mailed until after the end of the **THREE-MONTH** shortened statutory period, then the shortened statutory period will expire on the date the advisory action is mailed, and any extension fee pursuant to 37 CFR 1.136(a) will be calculated from the mailing date of the advisory action. In no event, however, will the statutory period for reply expire later than **SIX MONTHS** from the mailing date of this final action.

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Mon Cheri S. Davenport whose telephone number is 571-270-1803. The examiner can normally be reached on Monday - Friday 8:00 a.m. - 5:00 p.m. EST.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Seema Rao can be reached on 571-272-3174. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

MD/md
October 1, 2007



Seema S. Rao
SEEMA S. RAO 10/15/07
SUPERVISORY PATENT EXAMINER
TECHNOLOGY CENTER 2600